

DATE: April 09, 2019

CIRCULARS

RBI

- Marginal Standing Facility
- Liquidity Adjustment Facility – Repo and Reverse Repo Rates

NSE

- SAT order in the matter of Mentha Oil Contracts at Multi Commodity Exchange of India
- Clarification to Cyber Security & Cyber Resilience framework for Stock Brokers / Depository Participants
- Trade for Trade
- Introduction of NSCCL–MASS for functionality currently available in Collateral Interface for Members (CIM)
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Applicability of Additional Surveillance Measure (ASM)
- List of securities moving to Stage II of Graded Surveillance Measure (GSM)
- Change in Clearing Member affiliation [Commodity Derivatives Segment]
- Internal Audit of Trading members / Clearing members
- Change of Market Maker for Cadsys (India) Limited (CADSYS)
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)

- Graded Surveillance Measure (GSM) ??? Periodic relaxation of Surveillance action
- Reporting for Artificial Intelligence (AI) and Machine Learning (ML) applications and systems offered and used by market intermediaries
- Changes in price bands from April 05, 2019
- Changes in price bands from April 05, 2019
- Illiquid securities

BSE

- Order in respect of Mentha Oil Contracts at Multi Commodity Exchange of India with respect to APMV Stocks and Commodities Pvt Ltd & A G Agri Mart Pvt Ltd
- Submission of Internal Audit Report for half year ended March 31, 2019
- Applicability of Additional Surveillance Measure
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Graded Surveillance Measure (GSM) - List of securities moving in to their respective GSM Stages
- Uniform Implementation of KYC requirements-Update
- Non-Competitive Bidding Facility for Auction of Treasury Bills (T-Bills) on April 10, 2019
- Regulatory, Inspection, Audit & Compliance measures for Internet connectivity mode of BOLT Trader Work Station (TWS)
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Graded Surveillance Measure (GSM) - Lower Revision of Stages
- Framework for Utilization of Regulatory Fee Foregone by SEBI
- Enhancements in Trading System APIs for Equity, Equity Derivatives and Commodity Derivatives segments – Revised Go live Date
- Release of BOLT TWS ver. 78.10
- SEBI Annual Clearing Membership fees payable by the Clearing Members of Equity Derivatives, Currency Derivatives, Debt and Commodity Segment of the Indian Clearing Corporation Limited (ICCL) for the financial 2019-2020
- UCC details in the Give-up/ Take-up module for Equity Cash Segment

- [Admission of Trading Member in Commodity Derivatives Segment](#)
- [Periodic Call Auction for Illiquid scrips](#)

MCX

- [SAT Order in the matter of trading in Mentha Oil Contracts at Multi Commodity Exchange of India](#)
- [Submission of Half Yearly Networth Certificate as on March 31, 2019](#)
- [Reporting for Artificial Intelligence \(AI\) and Machine Learning \(ML\) applications and systems offered and used by members of the Exchange-Reminder](#)
- [Gazette Notification of SEBI \(Payment of Fees and Mode of Payment\) \(Amendment\) Regulations, 2019](#)
- [Commencement of Gold New LTP Based Spread Contracts](#)
- [Commencement of Futures Trading in Gold Mini July 2019 contract and LTP Based Spread Trading Facility in Gold Mini Futures Contracts](#)
- [De-accreditation & Accreditation of Warehouse for Delivery of Brass](#)

SEBI

- [Adjudication Order in respect of K V Ramana Shetty in the matter of Dealing in Illiquid options on the BSE.](#)
- [Adjudication Order in respect of Marutirao Tukaram Yangalwar in the matter of Dhyana Finstock Limited.](#)
- [Adjudication Order passed in respect of MJVVD Prakash, Govardhan Lal, Firstcall Consultancy Services P Ltd and Nitin Chadha in the matter of Ranklin Solutions Ltd](#)
- [Settlement Order dated April 05, 2019 in respect of Himachal Futuristic Communications Limited in the matter of its Global Depository Receipts issue.](#)
- [Issue of Certified copies of Orders and Circulars](#)
- [Minimum Standards for a Currency Chest](#)
- [Auction of Government of India Dated Securities](#)

- Auction for Sale (Issue/re-issue) of Government Stock (GS)

NSDL

2019-0020-Policy-Increase in timelines for processing of Dematerialisation Requests

NCDEX

- Notification of SEBI (Payment of Fees) (Amendment) Regulations, 2019
- Spread margin benefit in a Commodity Complex
- Collection of Broker Turnover Stamp Duty by Agency on Transaction of Security and commodity through Brokers for State of Uttar Pradesh
- Framework for Determination of Final Settlement Price (FSP) in case of Unavailability of Polled Spot Price on Expiry Day

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